

1 Comparison of HTK-Custodiol and St. Thomas Solution as 2 Cardiac Preservation Solutions on Early and Midterm 3 Outcomes Following Heart Transplantation 4 5 6

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45 **Abbreviations**

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48 **ATP**-Adenosine Triphosphate

49 **CF-MCS**-Continuous-flow Mechanical Circulatory Support

50 **CI**-Confidence Interval

51 **CPB** -Cardiopulmonary Bypass

52 **CPS**-Cardiac Preservation Solution

53 **ECC** -Extracorporeal circulation

54 **ECMO**-Extracorporeal Membrane Oxygenation

55 **HR**-Hazard Ratio

56 **HTx**-Heart Transplantation

57 **ISHLT**-International Society of Heart and Lungs Transplant

58 **KM**-Kaplan-Meier

59 **LR**-Logistic Regression

60 **NO**-Nitric Oxid

61 **OR**-Odds Ratio

62 **OLR**-Ordinal Logistic Regression

63 **ROS**-Reactive Oxygen Species

64 **VAD**-Ventricular Assist Device

65 **VIS**-Vasoactive Inotropic Score

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74 **Abstract**

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77 **Objectives**

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79 The choice of the cardiac preservation solution for myocardial protection at time of heart
80 procurement remains controversial and uncertainties persist regarding its effect on the early and
81 midterm heart transplantation outcomes. We retrospectively compared our adult heart
82 transplantations performed with two different solutions, in terms of hospital mortality, mid-
83 term survival, inotropic score, primary graft dysfunction and rejection score.

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85 **Methods**

86 From January 2009 to December 2020, 154 consecutive heart transplantations of adult patients,
87 followed up in pre- and post-transplantation by two different tertiary centers, were performed
88 at the University Hospital of Lausanne, Switzerland. From 2009 to 2015, the cardiac
89 preservation solution used was exclusively St-Thomas, whereafter an institutional decision was
90 made to use HTK-Custodiol only. Patients were classified in two groups accordingly.

91

92 **Results**

93 There were 75 patients in the St-Thomas group and 79 patients in the HTK-Custodiol group.
94 The two groups were comparable in terms of preoperative and intraoperative characteristics.
95 Postoperatively, compared to St-Thomas group, the Custodiol group patients showed
96 significantly lower inotropic scores [median (interquartile range): 35.7 (17.5-60.2) vs. 71.8
97 (31.8-127), $p < 0.001$], rejection scores [0.08 (0.0-0.25) vs. 0.14 (0.05-0.5), $p = 0.036$] and 30-
98 day mortality rate (2.5% vs. 14.7%, $p = 0.007$) even after adjusting for potential confounders.
99 Microscopic analysis of the endomyocardial biopsies also showed less specific histological

100 features of subendothelial ischemia (3.8% vs. 17.3%, p=0.006). There was no difference in
101 primary graft dysfunction requiring postoperative extracorporeal membrane oxygenation. The
102 use of HTK-Custodiol solution significantly improved midterm survival (Custodiol vs St-
103 Thomas: HR=0.20, 95%CI: 0.069 -0.60, p=0.004).

104

105 **Conclusion**

106 This retrospective study comparing St-Thomas solution and HTK-Custodiol as myocardial
107 protection during heart procurement showed that Custodiol improves outcomes after heart
108 transplantation, including postoperative inotropic score, rejection score, 30-day mortality and
109 midterm survival.

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125 **Introduction**

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127 Over the last decades, Heart Transplantation (HTx) has become the gold standard of care for
128 well-selected end-stage heart disease patients[1]. Nowadays, HTx still remains the treatment of
129 choice despite the increasing number of Continuous-Flow Mechanical Circulatory Support
130 (CF-MCS) devices and their favorable results in different clinical settings[1].

131 Successful organ preservation is a key element of transplantation since its goal is to maintain
132 the viability of the organ until its implantation into the recipient. Two issues are important in
133 this process: the type of preservation solution used to obtain the diastolic cardiac arrest and the
134 duration of the cold ischemic storage. The duration of the latter should be limited to 4-6 hours,
135 and it is well known that longer preservation data alter outcomes[2], although ischemic times
136 as long as 13 hours have been reported[3]. In that perspective, the 2017 registry of the
137 International Society for Heart and Lung Transplantation (ISHLT) reported that allograft
138 ischemic time between 2 and 4 hours is associated with considerably higher survival and better
139 early outcomes than allograft ischemic time of more than 4 hours[4]. More than one hundred
140 preservation solutions[2] have been developed and applied worldwide, but there is no consensus
141 on the choice to use Cardiac Preservation Solution (CPS), and uncertainties persist regarding
142 its effect on early and mid-term HTx outcomes, including a potential survival benefit.

143 The goal of this work was to report our two-center (University Hospital of Lausanne and
144 University Hospitals of Geneva, Switzerland) experience of HTx over a period of 12 years with
145 two different CPS (St-Thomas and HTK-Custodiol). Based on unchanged patient profiles in the
146 cohort of HTx recipients, we investigated the impact of these two CPS on hospital mortality
147 (30-day mortality) and mid-term mortality, inotropic score, primary graft dysfunction requiring
148 Extracorporeal Membrane Oxygenation (ECMO) and one-year post transplant rejection score.

149

150 **Methods**

151 From January 2009 to December 2020, 165 consecutive HTx for end stage heart failures from
152 all etiologies were performed in our institution (Lausanne University Hospital, Switzerland).
153 The patients were followed-up pre- and post-operatively by two different tertiary centers,
154 respectively Lausanne University Hospital and Geneva University Hospitals, Switzerland.
155 After excluding patients under 18 years of age, the study population included 154 adult patients.
156 From 2009 to 2015, the cardiac preservation solution used was exclusively St-Thomas,
157 whereafter the institution made a decisive switch to HTK-Custodiol only. Thus, patients were
158 classified in two groups according to the solution used, St-Thomas or HTK-Custodiol.
159 The study was approved by the Ethic Committee of the Lausanne University Hospital
160 (Switzerland) in March 2018 (CER-VD2019-704) after a thorough scrutiny of the study
161 protocol as well as an analysis of a sample of patients from the study population. We requested
162 and obtained a written informed consent for all patients.

164 **Operative strategy**

165 During organ procurement, CPS administration varied according to the type of CPS used. HTK-
166 Custodiol was perfused at the dose of 30 ml/kg (of donor body weight) to achieve a total
167 infusion time of 7 minutes. St-Thomas was administered at the dose of 20ml/kg (of donor body
168 weight). In both groups, topical cooling with ice-slush was also employed during harvest and
169 transport. If allograft ischemic time exceeded 150 min, 500 ml of CPS were re-administered
170 upon graft arrival in the operating room (St-Thomas or HTK-Custodiol depending on the first
171 solution administered).

173 **Clinical evaluation and follow-up data**

174 Patients' demographic and clinical data recorded prior to surgery by the physician in charge
175 were retrieved from electronic patient records without alteration. Operative, in-hospital
176 postoperative and follow-up data were collected by the intensive care team and the heart failure
177 cardiologists in charge of the patient from the time of the surgery. Primary outcomes of interest
178 were hospital vasoactive inotropic score (VIS), rejection score, primary graft dysfunction
179 requiring ECMO, and 30-day mortality. Overall mid-term survival was considered as secondary
180 outcome.

181 The ISHLT histological rejection score [5] was obtained by endomyocardial biopsies every
182 week for the first month, every 2 weeks for the next 6 weeks, monthly biopsies for 3 to 4
183 months, and every 3 months until the end of the first year. The rejection score was calculated
184 as the average of the scores obtained from the first five endomyocardial biopsies. The VIS score
185 was calculated according to Gaies et al. [6] formula, as a predictor of poor outcomes after
186 cardiac surgery (death, cardiac arrest, need for mechanical circulatory support, renal
187 replacement therapy and/or neurological injury) [6]. Hourly doses of all vasoactive medications
188 were recorded and the maximum level of each medication through the first 48h carefully noted.
189 The first three post-transplantation endomyocardial biopsies were analyzed in search of
190 histological features of subendothelial ischemia to evidence potential ischemia reperfusion
191 injuries. The cardiac allograft vasculopathy was also scrutinized one year after the surgery.

192

193 **Statistical methods**

194 Results were expressed as mean and standard deviation (SD) for quantitative variables or
195 median and interquartile range (IQR) for non-normally distributed variables. Frequency tables
196 (numbers and percent) were used for summarizing categorical data. Normality of distributions
197 was assessed by the Shapiro-Wilk test. A log-transform was used to normalize non-normal
198 distributions (VIS, waiting time). For quantitative variables, groups (St-Thomas vs. HTK-

199 Custodiol) were compared by the Kruskal-Wallis test while the chi-squared test for qualitative
200 variables. Multiple linear regression was used to assess the relationship between a quantitative
201 outcome and several covariates. For a binary (ordinal) outcome, (ordinal) logistic regression
202 was applied to the data. Results were expressed as regression coefficient or odds ratio (OR)
203 with 95% confidence interval (95%CI). The E-value was estimated to measure the effect of
204 potential hidden biases on the association between the exposure (CPS) and outcome (30-day
205 mortality). A high E-value suggests that uncontrolled confounders have to be strongly related
206 to exposure and outcome to completely explain the association. The Kaplan-Meier (KM)
207 method was used to estimate survival functions. The relationship between a survival outcome
208 variable and covariates was assessed by Cox regression analysis. Results were then expressed
209 by the hazard ratio (HR) and its 95%CI. Statistical calculations were always done on the
210 maximum number of data available. Missing values were neither replaced nor imputed. Results
211 were considered significant at the 5% critical level ($p < 0.05$). All calculations were done with
212 SAS version 3.4 (SAS Institute, Cary, NC, USA) and R version 4.1.0 (R Foundation for
213 Statistical Computing, Vienna, Austria).

214

215 **Results**

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217 **Patient characteristics**

218 Of the 154 adult patients who underwent HTx for end stage heart failure from all etiologies, 75
219 (48.7%) received St-Thomas and 79 (52.3 %) HTK-Custodiol as CPS. The overall percentage
220 of missing data was 8.3%, respectively 6.3% (St-Thomas) and 10.1% (Custodiol). The mean
221 number (range) of missing values per patient in St-Thomas group 1.3 (0-5) was significantly
222 lower than in the Custodiol group 2.4 (0-7). However, for most variables, data were either
223 complete or only barely missing in each group. Baseline patient (recipient and donor)

224 characteristics are displayed in **Table 1**. Recipients did not differ by age, etiology of the heart
225 failure, presence of a VAD preoperatively, mean ejection fraction, CPB time, gender-, height-
226 or weight-mismatch, previous cardiac surgery, and previous biventricular failure. By contrast,
227 there were more women in the Custodiol group than in the St-Thomas group (29.2% vs. 13.3%,
228 $p=0.017$) and the ischemic time was shorter (172 ± 45.5 vs. 144 ± 40.2 min, $p<0.001$). As for
229 donors, they were perfectly comparable with respect to cause of death ($p=0.39$) and gender
230 ($p=0.75$), but were slightly older in Custodiol group than in St-Thomas group (43.5 ± 14.9 vs.
231 49.2 ± 14.4 years, $p=0.038$).

232

233 **Outcomes**

234 As seen in **Table 2**, the two groups differed for inotropic score [median (IQR): 71.8 (31.8-127)
235 vs. 35.7 (17.5-60.2), $p<0.001$] (**Figure 1**), rejection score [0.14 (0.05-0.25) vs. 0.08 (0.0-0.25),
236 $p=0.036$] (**Figure 2**), and for 30-day mortality rate (14.7% vs. 2.5%, $p=0.0068$). The groups
237 were similar for primary graft dysfunction requiring postoperative ECMO, immediately at the
238 end of the surgery or within the first 24 hours (16.0% vs. 16.5%, $p=0.94$). The microscopic
239 analysis of the first 3 endomyocardial biopsies revealed specific histological features of
240 subendothelial ischemia in 13 (17.3%) patients of the St-Thomas group and 3 (3.8%) in the
241 Custodiol group ($p=0.006$). One year after HTx, there was no significant difference between
242 groups regarding the cardiac allograft vasculopathy.

243

244 **CPS and inotropic score**

245 Linear regression of log-transformed inotropic scores on CPS confirmed that scores were lower
246 for HTK-Custodiol compared to St-Thomas solution (regression coefficient: -0.69, 95%CI: -
247 1.0 to -0.38, $p<0.001$). The significant relationship between inotropic score and CPS remained
248 unchanged after adjusting for any of the patient characteristics, even for ischemic time and CPB

249 time both positively associated with the inotropic score (data not shown). Multiple linear
250 regression confirmed that, when combined with ischemic time (0.0052, 95%CI: 0.0011 –
251 0.0093, p=0.017) and log-transformed CPB time (0.71, 95%CI: 0.16-1.3, p=0.015), the
252 preservation solution remained significantly related to the inotropic score (-0.60, 95%CI: -0.99
253 to -0.21, p=0.003) (**Table 3**).

254

255 **CPS and rejection score**

256 The overall distribution of the rejection score could not be normalized, therefore the 131
257 patients with a rejection score were classified into three categories as follows: 41 (31.3%) had
258 a score equal to 0, 49 (37.4%) had a score between 0 and 0.2, and 41 (31.3%) has a rejection
259 score > 0.2. Ordinal logistic regression confirmed that the rejection score was significantly
260 impacted by CPS in favor of Custodiol (OR=0.41, 95%CI 0.24-0.86, p=0.016). No patient-
261 related characteristics was associated with the rejection score, except renal glomerular function
262 (N=109 patients; OR=0.979, 95%CI 0.961-0.999, p=0.036). The effect of CPS on the rejection
263 score remained significant after adjusting for any of the patient-related characteristics but only
264 a tendency remained for renal glomerular function (p=0.098) (data not shown).

265

266 **CPS and 30-day mortality**

267 Overall, 13 (8.4%) died within 30 days in the patient series, significantly more in the St-Thomas
268 group than in the Custodiol group as mentioned above (OR=6.62, 95%CI: 1.41–30.9, p=0.016).
269 None of the other recipient-related preoperative or intraoperative characteristics was related to
270 30-day mortality rate (**Table 4**). CPS remained associated with 30-day mortality after adjusting
271 for any of these covariates. The E-value to assess the potential effect of non-controlled
272 confounders was 12.7 (lower limit 2.18) confirming the strong association of CPS and 30-day
273 mortality. Of note, however, the association between CPS and 30-day mortality vanished

274 (p=0.86) when inserting the outcome variable log (VIS) in the logistic regression, emphasizing
275 the strong relationship between CPS and VIS.

276

277 **CPS and midterm survival**

278 The follow-up for HTK-Custodiol patients was necessarily shorter than for St-Thomas patients
279 (3.1±1.5 vs. 7.0±3.9 years). Globally, 26 (16.9%) patients died, 21 in the St-Thomas group and
280 4 in the Custodiol group. The Kaplan-Meier survival functions of both groups (**Figure 3**)
281 differed significantly (log-rank test, p=0.001). Cox regression analysis applied to each patient-
282 related characteristic showed that CPS was the only significant factor affecting overall survival
283 (HTK-Custodiol vs. St-Thomas: HR=0.20, 95%CI 0.069 - 0.60, p=0.004) (**Table 5**). The
284 impact of CPS on midterm survival remained unchanged after adjusting for any of the other
285 patient-related factors.

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287

288 **Discussion**

289

290 More than 50 years after the first human HTx by Christian Barnard, HTx remains the preferred
291 surgical option for selected patients with end stage heart disease. The fact that the number of
292 patients on waiting list and the duration of their HTx candidacy are continuously growing in
293 Europe and the USA is an indirect sign of this trend[1,7,8].

294 Despite major recent progresses in the field of HTx, organ preservation remains imperfect and
295 still impacts patients' survival and outcomes [3]. The ex vivo period is the vulnerable stage
296 during which the organ can undergo cellular damage that is further compounded by reperfusion
297 injury after the implantation. The goal during procurement and preservation is to minimize these
298 injuries and maintain the viability of the organ until its implantation in the recipient. Rapid
299 diastolic cardiac arrest and subsequent cold ischemic storage (at 4°C) are the two cornerstones

300 of the cardiac procurement technique. Diastolic cardiac arrest preserves adenosine triphosphate
301 (ATP) levels by comparison to ischemic myocardial contracture[9], and cooling down the organ
302 to 4°C results in a 10-to-12-fold decrease in metabolic demand. However, the persistence of a
303 level of metabolism at 5-10% of normal values explains why cooling alone does not prevent all
304 cellular damages [10]. During cold ischemic storage, the only source of energy for the graft is
305 anaerobic glycolysis, but the enzymes involved in this process are inhibited by the acidosis
306 resulting from the ischemia. Therefore, it is necessary to use a CPS containing buffers to
307 maintain the cellular pH stable and allow a minimal ATP production[11].

308 CPSs are classified as intracellular or extracellular according to their concentration in sodium
309 and potassium. Intracellular CPSs contain high potassium and low sodium and tend to be like
310 the intracellular milieu. As a result, they limit the movement of ions and water across the cell
311 membrane. Extracellular CPSs contain low potassium and were initially developed to prevent
312 hyperkalemia related to the infusion of intracellular CPSs[12]. However, this classification
313 remains rather artificial and subjective given that each CPS is best defined by its own ionic
314 concentration and mostly by the residual osmotic space for the addition of other substances.
315 These other substances could reduce intra- and extra-cellular edema, limit intracellular acidosis,
316 reduce Reactive Oxygen Species (ROS) generation, and increase ATP production. All these
317 factors tend to decrease the myocardial injury and thus improve the outcomes after HTx[13].

318 HTK-Custodiol is a hyperpolarizing solution with low sodium concentration that allows a large
319 osmotic space as well as the addition of numerous other highly concentrated substances[14].

320 Among these substances, there is a high concentration of histidine/histidine hydrochloride
321 intracellular buffering system, which enhances buffering capacity during ischemic induced
322 acidosis; amino-acid tryptophan alpha ketoglutarate, which protects cell membrane as a
323 substrate for anaerobic metabolism; and mannitol, which is an osmotic agent that helps reducing
324 cellular and tissue edema. It is also an excellent scavenger of ROS[13, 15]. HTK-Custodiol has

325 also been shown to maintain high levels of intra-cellular ATP after reperfusion and this is
326 known to be directly correlated with low output syndrome, which usually develops a few hours
327 after surgery and is the result of myocardial edema during the ischemic phase. The latter
328 decreases coronary blood flow and thus intra-cellular ATP levels[14].

329 St-Thomas solution is an extracellular solution, which provides a rapid diastolic cardiac arrest
330 by high potassium and magnesium concentration as well as by the membrane's stabilizing effect
331 of procaine hydrochloride. Cellular edema is reduced by the extracellular sodium concentration,
332 procaine, and a variable concentration of bicarbonates[16]. The increase in extracellular
333 potassium concentration causes a progressive depolarization of the membrane potential for each
334 level of potassium concentration. Solutions with a high concentration of potassium, such as St-
335 Thomas, are however known to cause toxicity to the vascular endothelium. Carpentier was the
336 first to demonstrate reduced viability and function of endothelial cells after exposure to high
337 potassium concentration[17, 18]. The endothelium is however important as it locally regulates
338 coronary perfusion and cardiac function through the secretion of Nitric Oxid (NO) and
339 vasoactive peptides. Therefore, after administration of a high potassium concentration solution,
340 endothelial dysfunction occurs, which could lead to myocardial dysfunction[19].

341 Regarding the buffering system, St-Thomas solution contains only extracellular buffers, which
342 are less effective than the intracellular buffers used in HTK-Custodiol and other CPS in
343 preventing intracellular edema[20, 21]. Although St-Thomas is beneficial and still widely used
344 in non-transplant cardiac surgery, our study, like others[13, 20], demonstrates that using St-
345 Thomas solution leads to worse immediate outcomes after HTx, which likely explains its
346 overall decreasing use. Concerning current trends in CPS use, most European centers moved
347 from St-Thomas solution to HTK-Custodiol after 2010, and in the United States, in the past
348 years, nearly half of the grafts were stored in the University of Wisconsin solution, one-fourth
349 in Celsior and one-fourth in HTK-Custodiol[13].

350 Another salient element arising from our study is the difference in rejection score in favor of
351 the Custodiol group, which to our knowledge, has not been described before. This could be
352 interpreted as a reflection of improvement of the overall HTx patient care[21], given that the
353 same trend has been observed in several other European countries during the last decades and
354 seems to be related to the improvement of the immunosuppression monitoring[21, 22].
355 However, over the whole duration of our study, no changes in the immunosuppression
356 protocol or its monitoring occurred. We therefore suggested that the integrity of the
357 endothelial cells of the graft could be compromised by the different preservation and storage
358 techniques and in particular by the type of CPS used. Indeed, it is now well known that
359 endothelial cell damage leads to increased capillary permeability, cellular and tissue edema,
360 vasospasm and microvascular hypoperfusion[23, 24]. As endothelial cell function is directly
361 correlated to cardiomyocyte function, all these elements can lead to primary graft dysfunction
362 [25, 26]. Moreover, different studies confirm that preservation related injuries in heart
363 transplantation can be the cause of early complications but also of late events such as graft
364 rejection and chronic transplant arteriopathy[27, 28].
365 To confirm our hypothesis, we reviewed the anatomopathological reports of the first 3
366 endomyocardial biopsies for each patient, in both groups. This time, we were interested not
367 only in the overall rejection score but also in the microscopic analysis when it showed typical
368 lesions of ischemia reperfusion phenomena. Specifically, the lesions found are infiltrates of
369 mononuclear cells and granulocytes located in the endothelial layer and associated with
370 interstitial oedema. These lesions are specifically different from rejection lesions and are
371 interpreted as typical of ischemia-reperfusion phenomena by our pathologists.
372 Interestingly, we found that there were significantly more of these specific histological features
373 in the St-Thomas group than in the Custodiol group.

374 Several factors can explain these endothelial lesions during the graft harvesting and storage
375 process. At first, the duration of ischemia can directly affect the viability of endothelial cells
376 through different pathways. These include reduced protein synthesis and ATP levels[28],
377 increased anaerobic metabolism, and both intracellular and extracellular acidosis[25]. Under
378 these conditions, the endothelium releases large quantities of proinflammatory chemoattractant
379 cytokines (IL 1 α , IL 8) and the availability of antioxidants is reduced[26]. All these elements
380 lead to potassium efflux with membrane depolarization, cellular swelling, alteration of the
381 endothelial barrier, and tissue edema. This in turn leads to abnormalities in the distribution of
382 CPS but also in blood flow at reperfusion, which aggravates the phenomenon[13].

383 Secondly, reperfusion is accompanied by a real burst of ROS which occurs only 15 seconds
384 after the onset of the reperfusion[15]. This increases the endothelial lesions and the previously
385 mentioned inflammatory reaction. Usually 2-3 hours after reperfusion, activated neutrophils
386 adhere to the endothelium, release large amounts of free radicals resulting in loss of endothelial
387 barrier function, tissue edema and a functional impairment of both endothelial cell and
388 cardiomyocytes[16].

389 It is likely that the difference in outcomes obtained, especially regarding the rejection score in
390 favor of the Custodiol group, is explained by the response of the two CPSs to various lesional
391 factors affecting the endothelium and consequently the cardiomyocytes, during graft harvesting
392 and preservation.

393 As mentioned above, the St-Thomas solution is a high concentration of potassium solution, and
394 it has been known since the 1980s and Carpentier[17] that solutions of this type induce
395 vasoconstriction and an impairment of the endothelial function with a decrease in NO release
396 and other factors including prostacyclin, endothelium derived hyperpolarization factor and
397 adenosine[13]. In addition, potassium-induced depolarization is known to promote platelet
398 adhesion, neutrophil activation, inflammation, and ROS generation, which could explain our

399 results. On the contrary, HTK-Custodiol is a low concentration potassium solution that contains
400 different substances such as histidine, ketoglutarate, tryptophan and mannitol, whose role is to
401 counteract the deleterious effects on the endothelium and the myocardium. Those differences
402 in chemical composition may explain our results.

403 It is important to note that other studies have not found results similar to ours. For example, the
404 study by Cannata et al.[29] reported retrospectively 133 heart transplantations with 3 different
405 CPS (Custodiol vs St-Thomas vs Celsior). Custodiol was mainly used. Outcomes included
406 intraoperative biventricular dysfunction requiring ECMO and in-hospital mortality. There was
407 no difference between groups. In comparison, our study confirms that there is no difference in
408 biventricular dysfunction, but our mortality differs between the groups. However, our study
409 was designed differently, the aim being to determine the patient's postoperative condition other
410 than only by mortality (inotropic score, biventricular dysfunction) and to see whether the
411 advantage of Custodiol based on its chemical composition is confirmed at histological level
412 (rejection score, ischemia-reperfusion lesions).

413 Another interesting study written by Karduz et al.[30] aimed at evaluating the effect of HTK-
414 Custodiol, St-Thomas and del Nido solutions functionally and biochemically in a rat model of
415 donor heart. Custodiol administration led to reduced myocardial contraction, decreased ATP
416 level, increased TNF- α and increased troponin-I levels. The results of this observational study
417 run counter to several other studies on humans[14,15,16], especially regarding the ATP levels.
418 However, the study is well conducted, and the results are very interesting.

419 It is likely that in the future, further studies, especially randomized control trials, could be
420 necessary to confirm our data.

421

422 **Limitations**

423 This retrospective longitudinal study longitudinal of HTx patients suffers from the
424 shortcomings of all retrospective observational studies, including selection biases, reliability,
425 quality, and completeness of data collected from patient electronic records, even though a
426 special effort was made in this study to eliminate erroneous data entry and avoid as much as
427 possible missing data. In this respect, the data collection was complete, and the outcome
428 measures were confirmed in our local database as well as in the Swiss Death registry and the
429 Swiss Cohort Study.

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431 **Data availability statement**

432 The data that support the findings of this study are available on request from the corresponding
433 author.

434

435 **Competing interests statement**

436 The authors have declared that no competing interests exist.

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438

439 **Conclusion**

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441 In our regional cohort of consecutive HTx recipients in pre- and post-transplant follow-up by
442 two different tertiary centers, we observed that the use of HTK-Custodiol as myocardial
443 protection during heart procurement leads to improved outcomes after HTx, including
444 postoperative inotropic score, 30-days mortality, mid-term survival, rejection score and
445 presence of specific ischemia-reperfusion lesions.

446 Even though, the present study is not a head-to-head comparison, our results suggest the
447 superiority of HTK-Custodiol over the St-Thomas solution, in the context of very few
448 differences in the baseline patient's characteristics, an unchanged pre- and posttransplant

449 follow-up and an unchanged national donor heart allocation system during the study period.

450 Further studies, especially randomized control trials, are necessary to confirm these data.

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593 **Table 1. Baseline recipient and donor characteristics. Summary statistics are presented**
 594 **as mean (SD) or number (%).**

Variable	St-Thomas N=75	HTK-Custodiol N=79	P-value
<u>Recipient</u>			
Age (years)	51.9 (12.1)	51.3 (12.9)	0.76
Female gender	10 (13.3)	23 (29.2)	0.017
Waiting time on list (days)*	170 (89-403)	209 (63-403)	0.87
Ischemic etiology	30 (40.0)	36 (45.6)	0.49
VAD	24 (32.0)	32 (40.5)	0.27
Diabetes	13 (17.3)	21 (26.6)	0.17
RF (ml/min/1.73m ²)	50.8 (14.3)	56.7 (20.3)	0.079
Ejection Fraction (%)	25.0 (19.1)	28.4 (14.4)	0.15
VO ₂ Max (ml/min/kg)	14.1 (4.0)	18.3 (24.2)	0.17
PVR (WU)	2.4 (1.2)	2.3 (0.97)	0.55
Ischemic time (min)**	172 (45.5) (N=68)	144 (40.2) (N=49)	<0.001
CPB time (min)*	135 (110-188)	143 (103-180)	0.73
Gender mismatch	28 (37.3)	31 (39.2)	0.81
Height mismatch	1 (1.3)	1 (1.3)	1.0
Weight mismatch	18 (24.0)	23 (29.1)	0.47
Previous cardiac surgery	40 (53.3)	52 (65.8)	0.11
Emergency transplantation	18 (24.0)	19 (24.1)	0.99
<u>Donor</u>			
Cause of death			
Cerebral hemorrhage	33 (44.0)	35 (44.3)	0.39
Anoxia	12 (16.0)	10 (12.7)	
Trauma	23 (30.7)	26 (32.9)	
Cerebral edema	7 (9.3)	8 (10.1)	
Age (years)**	43.5 (14.9) (N=72)	49.2 (14.4) (N=50)	0.038
Female gender**	25 (40.3) (N=62)	11 (44.0) (N=25)	0.75

595 *SD* : standard deviation ; *VAD* : ventricular assist device ; *RF*: renal function; *VO₂ Max* : maximal oxygen
 596 consumption; *PVR*: pulmonary vascular resistance ; *WU* :wood units ; *CPB* : cardiopulmonary bypass

597 *Median (IQR)

598 **Actual sample sizes are given in parentheses.
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604 **Table 2. Comparison of outcomes according to preservation solution. Summary statistics**
605 **are presented as median (IQR) or number (%).**

Outcome	St-Thomas N=75	HTK-Custodiol N=79	P-value
Inotropic score	71.8 (31.8-127)	35.7 (17.5-60.2)	<0.001
Intra/Postoperative ECMO	12 (16)	13 (16.5)	0.94
Rejection score	0.14 (0.05-0.25)	0.08 (0.0-0.25)	0.036
30-day mortality	11 (14.7)	2 (2.5)	0.007

606 *ECMO Extracorporeal membrane oxygenation*
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634 **Table 3. Relationship between cardiac preservation solution and inotropic score***
 635 **adjusted for ischemic time and CPB time as derived by multiple linear regression**

Covariate	Regression (95% CI)	P-value
CPS (Custodiol vs. St-Thomas)	-0.60 (-0.99 to -0.21)	0.003
Ischemic time (min)	0.0052 (0.0011 to 0.0093)	0.017
CPB time (min)*	0.71 (0.16 to 1.3)	0.015

636 *SE*: standard error; *CPB*: cardiopulmonary bypass

637 *Log-transform

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665 **Table 4. Relationship between 30-day mortality rate and each recipient-related**
 666 **characteristics adjusted for CPS as derived by logistic regression analysis**

Risk factor	OR (95% CI)	P-value
<u>Recipient-related preoperative</u>		
Age (years)	1.03 (0.97–1.08)	0.32
Gender (male vs. female)	1.55 (0.33-7.37)	0.58
Etiology (ischemic vs. other)	1.16 (0.37-3.62)	0.80
VAD	1.10 (0.34-3.55)	0.87
Diabetes	0.27 (0.034-2.18)	0.22
RF (ml/min/1,73m ²)	0.99 (0.95-1.03)	0.65
Ejection Fraction (%)	1.002 (0.96-1.04)	0.94
VO ₂ Max (ml/min/kg)	0.90 (0.75-1.09)	0.28
PVR (WU)	1.10 (0.65-1.88)	0.72
Waiting time on list (days)*	1.03 (0.67-1.59)	0.89
<u>Recipient-related intraoperative</u>		
Ischemic time (min)	1.00 (0.99-1.02)	0.61
CPB time (min)*	4.06 (0.85-19.3)	0.078
CPS (Custodiol vs. St-Thomas)	0.15 (0.032-0.71)	0.016

667 *OR*: odds ratio; *CI*: confidence interval; *VAD*: ventricular assist device; *VO₂ Max*: maximal oxygen
 668 consumption; *PVR*: pulmonary vascular resistance; *WU*: Wood units; *CPB*: cardiopulmonary bypass

669 *Log-transform

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682 **Table 5. Relationship between overall survival and each recipient-related characteristics**
 683 **adjusted for CPS as derived by Cox regression analysis**

Risk factor	HR (95% CI)	P-value
<u>Recipient related preoperative</u>		
Age (years)	1.03 (0.99–1.07)	0.11
Gender (male vs. female)	1.38 (0.47-4.00)	0.56
Etiology (ischemic vs. other)	0.95 (0.43-2.06)	0.89
VAD	0.97 (0.43-2.18)	0.94
Diabetes	0.63 (0.22-1.82)	0.39
RF (ml/min/1,73m ²)	0.998 (0.971-1.03)	0.90
Ejection Fraction (%)	1.01 (0.98-1.04)	0.46
VO ₂ Max (ml/min/kg)	0.95 (0.86-1.06)	0.36
PVR (WU)	1.07 (0.77-1.50)	0.68
Waiting time on list (days)*	0.92 (0.71-1.20)	0.55
<u>Recipient related intraoperative</u>		
Ischemic time (min)	1.00 (0.99-1.01)	0.94
CPB time (min)*	2.16 (0.75-6.26)	0.16
Preservation solution (Custodiol vs. St-Thomas)	0.20 (0.069-0.6)	0.004

684 *HR: hazard ratio; CI: confidence interval; VAD: ventricular assist device; VO₂ Max: maximal oxygen*
 685 *consumption; PVR: pulmonary vascular resistance; WU: wood units; CPB: cardiopulmonary bypass*

686 *Log-transform

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705 **Figure 1: Distribution of the inotropic score in St-Thomas (N=66) and HTK-Custodiol**
706 **(N=74) solution groups**
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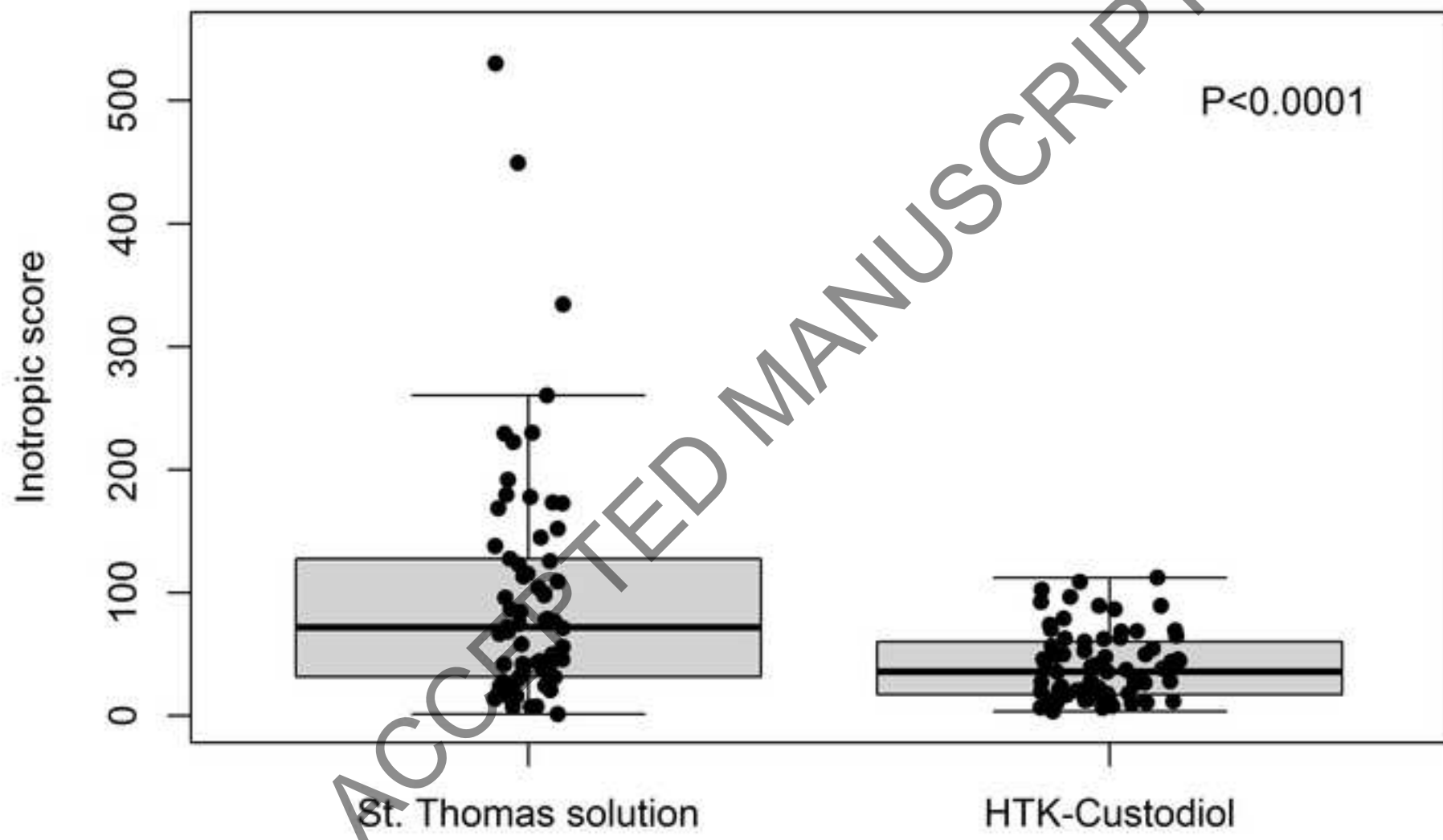
708 **Figure 2: Distribution of the rejection score in St-Thomas (N=62) and HTK-Custodiol**
709 **(N=69) solution groups**
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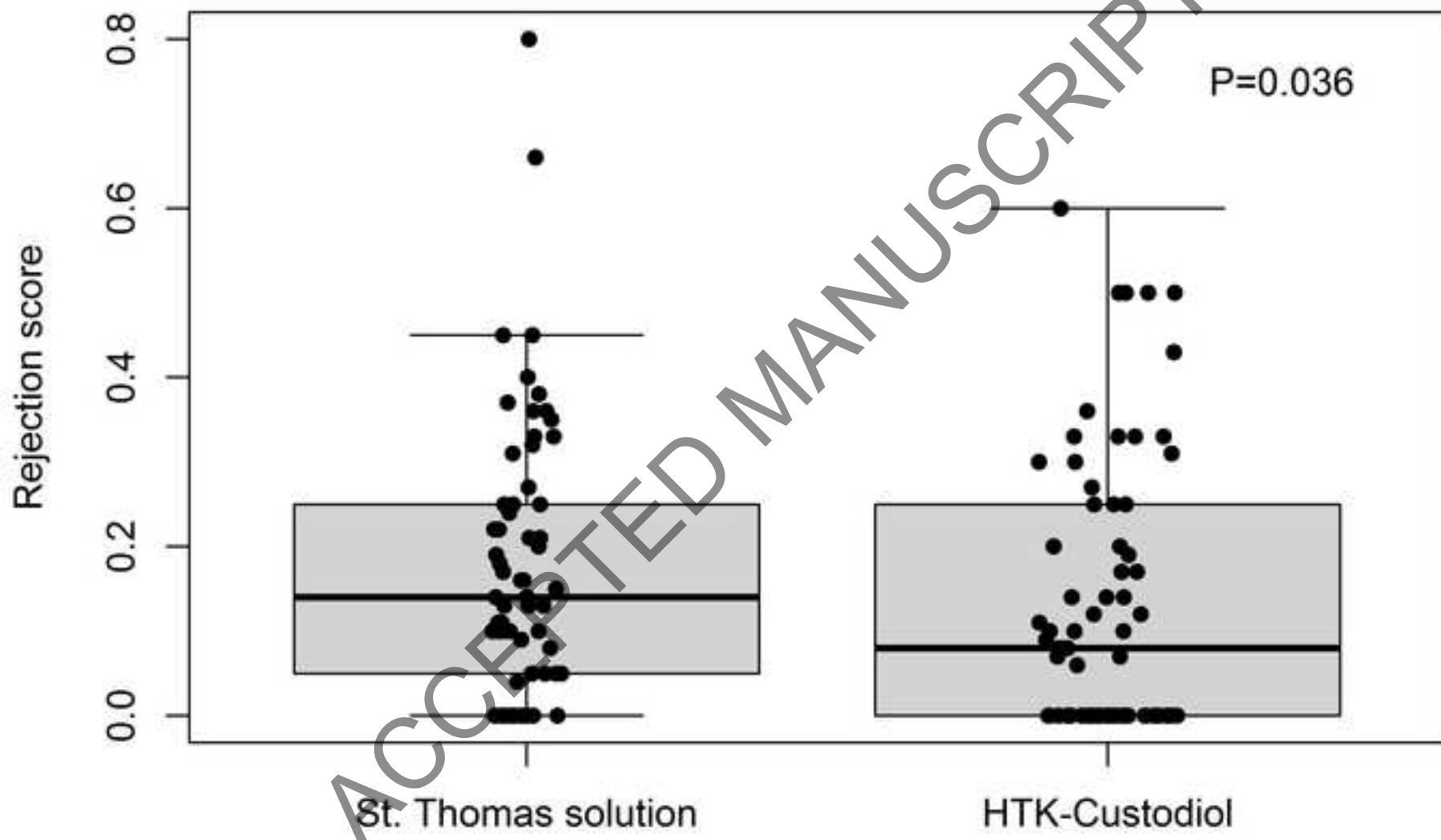
711 **Figure 3: Kaplan-Meier post-transplant survival curves with censoring marks and**
712 **95%confidence limits in St-Thomas and HTK-Custodiol solution groups**
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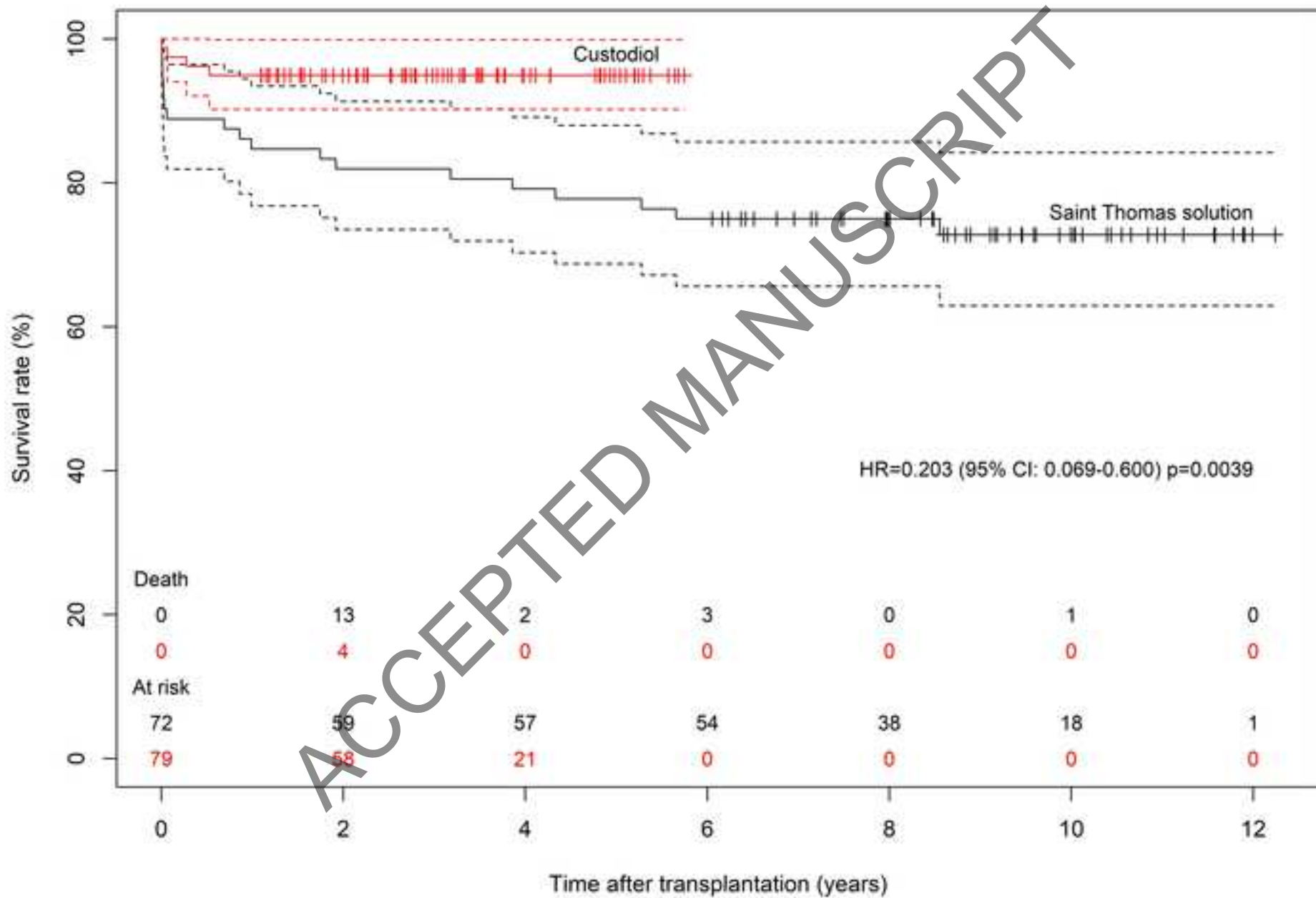
714 **Graphical Abstract – Central Image: Kaplan-Meier post-transplant survival curves with**
715 **censoring marks and 95%confidence limits in St-Thomas and HTK-Custodiol solution**
716 **groups**
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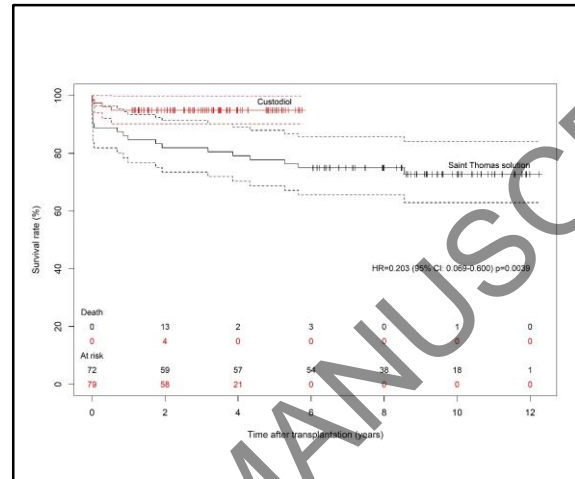




Comparison of HTK-Custodiol and St. Thomas Solution as Cardiac Preservation Solution on Early and Midterm Outcomes Following Heart Transplantation

Summary

In this retrospective study we analyzed 154 adult heart transplants according to the cardiac preservation solution received (St. Thomas solution vs HTK-Custodiol). Postoperatively, the Custodiol group showed lower inotropic score, mean rejection score, 30-day and mid-term mortality, but also less specific histological features of ischemia-reperfusion lesions.



Legend: Kaplan-Meier post-transplant survival curves with censoring marks and 95% confidence limits in St. Thomas and HTK-Custodiol solution groups

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